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FACSIMILE COVER SHEET**DATE:** November 4, 2003**TO:** Examiner
USPTO Art Unit: 2127
Confirmation No. 5753**FAX NO.:** (703) 746-7239**FROM:** Jeffrey G. Toler
Reg. No. 38,342**RE U.S. App. No.:** 10/634,117, filed August 4, 2003**Applicant(s):** James M. Doherty et al.**Atty. Dkt. No.:** 1033-T00534**Title:** HOST INTRUSION DETECTION AND ISOLATION**NO. OF PAGES (including Cover Sheet):** 5**MESSAGE:**

Attached please find:

- ☒ Information Disclosure Statement Transmittal Form (3 pages)
- ☒ Substitute Form PTO-1449A (1 page)

5000 Plaza On The Lake
Suite 265
AUSTIN, TEXAS 78746Tel: (512) 327-5515
Fax: (512) 327-5452**CONFIDENTIALITY NOTE**

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James M. Doherty et al.

Title: HOST INTRUSION DETECTION AND ISOLATION

Application No.: 10/634,117

Filed: August 4, 2003

Examiner: Not yet assigned

Group Art Unit: 2127

Atty. Docket No.: 1033-T00534

Confirmation No.: 5753

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form Substitute PTO 1449A (1 page)
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

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If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

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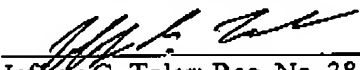
- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
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PATENT

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-2469.

Respectfully submitted,

11/4/2003
Date


Jeffrey G. Toler; Reg. No. 38,342
Attorney for Applicant(s)
TOLER, LARSON & ABEL, L.L.P.
P.O. Box 29567
Austin, Texas 78755-9567
(512) 327-5515 (phone)
(512) 327-5452 (fax)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet 1 of 1
(use as many sheets as necessary)

Complete if Known
Application Number 10/634,117
Filing Date August 4, 2003
First Named Inventor James M. Doherty et al.
Group Art Unit 2127 Confirmation No. 5753
Examiner Name Not yet assigned
Attorney Docket Number 1033-T00534

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,864,683	Boebert et al.	01-26-1999	
	AB	5,918,018	Gooderum et al.	08-29-1999	
	AC	6,219,707	Gooderum et al.	04-17-2001	
	AD	6,282,546	Gleichauf et al.	08-28-2001	
	AE	6,289,462	McNabb et al.	09-11-2001	
	AF	6,298,445	Shostack et al.	10-02-2001	
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	AI	6,415,321	Gleichauf et al.	07-02-2002	
	AJ	6,484,315	Ziese, Kevin J.	11-19-2002	
	AK	6,487,666	Shanklin et al.	11-26-2002	
	AL	6,499,107	Gleichauf et al.	12-24-2002	
	AM	6,567,917	Ziese, Kevin J.	05-20-2003	
	AN	6,578,147	Shanklin et al.	08-10-2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No.1	Foreign Patent Document Office 2 Number Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Yes <input type="checkbox"/>

PUBLICATIONS

Examiner Initials *	Cite No.1	Title of Publication	Date of Publication of Cited Document MM-DD-YYYY
	AO	U.S. Patent Application Publication No. 20010047486 A1; Green, Michael W. et al.	11-29-2001
	AP	U.S. Patent Application Publication No. 20020078202 A1; Ando, Tadanao et al.	06-20-2002
	AQ	U.S. Patent Application Publication No. 20020082886 A1; Manganaris, Stefanos et al.	06-27-2002
	AR	U.S. Patent Application Publication No. 20020087882 A1; Schneider, Bruce et al.	07-04-2002
	AS	U.S. Patent Application Publication No. 20030101355 A1; Mattsson, Ulf	05-29-2003
	AT	U.S. Patent Application Publication No. 20030007003 A1; Ostrowski, Dominic Jan et al.	01-09-2003

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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